



- o DEERFIELD INSURANCE COMPANY
- o ESSEX INSURANCE COMPANY
- o EVANSTON INSURANCE COMPANY
- o MARKEL AMERICAN INSURANCE COMPANY
- o MARKEL INSURANCE COMPANY

If you obtained this application at www.markelshand.com, please submit this application through your local insurance professional.

**APPLICATION FOR PHARMACY
PROFESSIONAL LIABILITY INSURANCE
(Claims Made Basis)**

APPLICANT'S INSTRUCTIONS:

1. Answer all questions. If the answer requires detail, please attach a separate sheet.
2. Application must be signed and dated by owner, partner or officer.
3. Please do not complete application earlier than 45 days before proposed effective date of coverage.
4. PLEASE READ CAREFULLY THE STATEMENTS AT THE END OF THIS APPLICATION.
(PLEASE TYPE OR PRINT IN INK)

1. GENERAL INFORMATION

- a. Full name of Applicant: _____
- b. Principal Business Address: _____
- c. Business Phone: (____) _____ E-Mail Address: _____ Website: _____
- d. Date established: _____

Please attach proforma business plan if this is a start-up.

2. OPERATIONS

- a. Describe the nature of applicant's operations including types and percentage of services rendered:

%

- Retail _____
- Wholesale _____
- Mail Order _____
- Drug Benefit _____
- Compounding _____
- Other _____

Total (100%)

- b. Provide the following information for all of the states in which you are licensed:

State	License No.	Effective Date	Expiration Date

- c. Are all drugs dispensed FDA approved? Yes ___ No ___ if no, please attach explanation.

- d. Complete the following information for each location you own.

Name and Address	Your Ownership %	Description of Operations

- e. Do you have any International operations? Yes ___ No ___

- f. Are any drugs imported? Yes ___ No ___ if yes, please attach explanation.

- g. Does licensed physician in State where services are rendered issue all prescriptions? Yes__ No__
- h. Is pharmacy in compliance with all local, state and federal laws that govern the manufacture, control, dispensing and distribution of prescription drugs? Yes ____ No____
- i. Annual Number of prescriptions filled _____
- j. Annual Gross Receipts: (complete all applicable categories)

	Last 12 Months	Next 12 Months
From Prescription Sales:	\$ _____	\$ _____
From Sundries Sales:	\$ _____	\$ _____
From Medical Equipment Sales:	\$ _____	\$ _____
From Medical Equipment Rental:	\$ _____	\$ _____
From In Home Therapy:	\$ _____	\$ _____
Other: _____	\$ _____	\$ _____
TOTAL:	\$ _____	\$ _____

- k. Is the Applicant a "Covered Entity" under the Health Insurance Portability and Accountability Act of 1996 (HIPAA) Privacy Rule?.....Yes ____ No____
- l. If yes,
 - (i) Has the Applicant implemented procedures to comply with the HIPAA Privacy Rule? Yes ____ No ____
 - (ii) Provide the name and title of the Applicant's Privacy Officer: _____

Our Business Associate Agreement is available at www.markelshand.com. This is the only Business Associate Agreement we will recognize.

3. PROFESSIONAL SERVICES

- a. Do you provide mail order services? Yes ____ No____
if yes, provide details of safety controls to assure a licensed physician authorizes prescriptions.
- b. Do you provide services to the following:
Nursing Home _____ Hospitals _____ Extended Care Facility _____ Correctional Facilities _____ MCOs _____
if yes, please provide copy of contract.
- c. Do you provide Pharmacy Benefit Management services, including any of the following: drug utilization review, formulary management and design, medical necessity review, credentialing review, pharmacy data and supporting services. Yes ____ No ____
if yes, please attach list of five (5) largest clients and provide copy of sample contract.
- d. Do you compound in bulk, manufacture or wholesale drugs or products? Yes ____ No____
if yes, are active ingredients purchased from chemical factories that have registered with the FDA? Yes ____ No ____
- e. Do you provide specialized pharmacy services such as nuclear, veterinarian or other ? Yes ____ No ____
If yes, please provide details.
- f. Are you a member of the Institute for safe Medication Practices (ISMP)? Yes ____ No ____
- g. Please indicate the type of **medical supplies and/or equipment** you sell or lease or repair for others:

TYPE	ANNUAL SALES	LAST 12 MONTHS	CURRENT 12 MONTHS

b. Please list Professional Liability insurance carried for each of the past ten years. IF NONE, STATE NONE.

<u>Insurance Carrier</u>	<u>Policy Number</u>	<u>Limits of Liability</u>	<u>Deductible (if any)</u>	<u>Premium</u>	<u>Inception Mo./Day/Yr.</u>	<u>Expiration Mo./Day/Yr.</u>	<u>Was this a Claims Made Policy Form?</u>		<u>Retro Date</u>
							<u>Yes</u>	<u>No</u>	
_____	_____	_____	_____	_____	_____	_____	[]	[]	_____
_____	_____	_____	_____	_____	_____	_____	[]	[]	_____
_____	_____	_____	_____	_____	_____	_____	[]	[]	_____

c. Has any claim or suit been brought against you and/or any of your employees? Yes ___ No ___ if yes, provide the following information:

1. If a current loss summary is available from the present and previous carrier, please attach a copy.
2. If a loss summary is not available, attach a Supplemental Claim Information Form showing the following information for each claim:
 - (i) Date of event and date claim was reported to the insurance company.
 - (ii) Description (cause) of loss or claim.
 - (iii) Location of loss.
 - (iv) Current status (open or closed)
 - (v) Paid amount and current reserve amount.
3. Are you aware of any circumstances which may result in a malpractice claim or suit being made or brought against you or any of your employees? Yes ___ No ___ if yes, attach details.

d. Please list prior General Liability insurance carried for each of the past five years. If none, state "NONE".

<u>Insurance Carrier</u>	<u>Policy Number</u>	<u>Limits of Liability</u>	<u>Deductible (if any)</u>	<u>Premium</u>	<u>Inception Mo./Day/Yr.</u>	<u>Expiration Mo./Day/Yr.</u>	<u>Was this a Claims Made Policy Form?</u>		<u>Retro Date</u>
							<u>Yes</u>	<u>No</u>	
_____	_____	_____	_____	_____	_____	_____	[]	[]	_____
_____	_____	_____	_____	_____	_____	_____	[]	[]	_____
_____	_____	_____	_____	_____	_____	_____	[]	[]	_____

7. GENERAL LIABILITY

a. Please complete the following for each of your facilities if you desire General Liability insurance:

	<u>Location Number</u>	<u>Parking Lot or Name and Location Address</u>	<u>Description of Type of Facility</u>	<u>Garage Maintained by Insured?</u>	<u>Adjacent Exposure?</u>	<u>Square Footage</u>
(i)	_____	_____	_____	[] Yes [] No	[] Yes [] No	_____
(ii)	_____	_____	_____	[] Yes [] No	[] Yes [] No	_____
	_____	_____	_____	[] Yes [] No	[] Yes [] No	_____

b. Please complete the following for each location:

- (i) Year built _____
- (ii) Year Remodeled _____
- (iii) Number of Stories _____
- (iv) Construction: Frame, Brick, Concrete _____
- (v) Percentage of Building Occupied by Insured _____
- (vi) Other Occupancy _____
- (vii) Location Number _____

- c. Is the Building Equipped with:
- (i) Complete Sprinkler System? [] Yes [] No
 - (ii) At Least Two Clearly Marked Exits at Each Floor? [] Yes [] No
 - (iii) Self-Closing Fire Doors on Each Floor? [] Yes [] No
 - (iv) Automatic Fire Alarm System Connected to Local Fire Department?..... [] Yes [] No
 - (v) Smoke Detectors? [] Yes [] No
 - (vi) Emergency Electrical System?..... [] Yes [] No
 - (vii) Heat Sensors? [] Yes [] No
 - (viii) Fire Escape(s)? [] Yes [] No
 - (ix) Posted Emergency Evacuation Procedures? [] Yes [] No
 - (x) Properly Maintained Fire Extinguishers?..... [] Yes [] No
- d. Is a formal written safety program in place? [] Yes [] No
(if yes, please attach a copy of the safety program.)
- e. Are written procedures in effect for incident reporting? [] Yes [] No
- f. Any exposure to flammables, explosive, chemicals? [] Yes [] No
- g. Any catastrophe exposure? [] Yes [] No
- h. Any exposure to radioactive materials? [] Yes [] No
- i. Do operations involve storing, treating, discharging, applying, disposing, or transporting hazardous materials? [] Yes [] No
- j. Machinery or equipment loaned or rented to others?..... [] Yes [] No
- k. Are there any elevators or escalators owned by you?..... [] Yes [] No
if yes, please indicate model and if the elevator and/or escalator is serviced by you under a maintenance contract.
- l. Any parking facilities owned/rented? [] Yes [] No
- m. Recreation facilities provided?..... [] Yes [] No
- n. Is there a swimming pool on the premises? [] Yes [] No
- o. Sporting or social events sponsored?..... [] Yes [] No

10 Year General Liability Loss History (attach further sheets if needed)

p.	Date of Occurrence	Date Claim Made	Amount Description of Loss	Amount of Loss Reserved	Amount Expenses Paid	Amount of Loss Reserved	Open (O) Expenses Reserved	or Closed (C)

- q. Are you aware of any circumstances that may result in a general liability claim or suit being made or brought against you?..... [] Yes [] No
if yes, please attach a Supplemental Claim Form

* NOTICE TO APPLICANT: The coverage applied for is SOLELY AS STATED IN THE POLICY, which provides coverage on a "CLAIMS MADE" basis for ONLY THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD unless the extended reporting period option is exercised in accordance with the terms of the policy.

WARRANTY: I/We warrant to the Insurer, that I understand and accept the notice stated above and that the information contained herein is true and that it shall be the basis of the policy of insurance and deemed incorporated therein, should the Insurer evidence its acceptance of this application by issuance of a policy. I/We authorize the release of claim information from any prior insurer to the underwriting manager, Company and/or affiliates thereof.

Name of Applicant

Title (Officer, partner, etc.)

Signature of Applicant

Date

SIGNING this application does not bind the Applicant or the Insurer or the Underwriting Manager to complete the insurance, but one copy of this application will be attached to the policy, if issued.